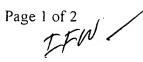




### United States Patent and Trademark Office



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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/821,255

04/08/2004

Jay S. Walker

04-014

22927 WALKER DIGITAL FIVE HIGH RIDGE PARK STAMFORD, CT 06905



CONFIRMATION NO. 9885

FORMALITIES LETTER

\*OC00000013020946\*

0013020340

Date Mailed: 06/22/2004

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/21/2004 HLE333

00000124 500271

10821255

FILED UNDER 37 CFR 1.53(b)

01 FC:2051

65.00 DA

Filing Date Granted

### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

File No: 04-014
Attorney: MMF

Due Date: 08-22-04-

Docketed: 06-29-04

A copy of this notice <u>MUST</u> be returned with the reply.

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Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

# TRANSMITTAL LETTER (General - Patent Pending)



Docket No. 04-014

In Re Application Of: WALKER et al.

Serial No. 10/821,255

Filing Date
April 8, 2004

Examiner
Not Yet Assigned

Group Art Unit

3625

Title: PURCHASING, REDEMPTION, AND SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A RETAILER OF A PRODUCT PURCHASED USING A COMMUNICATION NETWORK

### TO THE COMMISSIONER FOR PATENTS:

#### Transmitted herewith is:

Copy of Notice to File Missing Parts of Nonprovisional Application, mailed June 22, 2004 (2 pp.); Response to Notice to File Missing Parts of Application (3 pp.) (x2); Declaration and Power of Attorney for Patent Application (5 pp.); and Return Receipt Postcard.

in the above identified application.

- No additional fee is required.
- ☐ A check in the amount of

is attached.

☑ The Director is hereby authorized to charge and credit Deposit Account No.

\$65.00

50-0271

as described below.

.

☑ Charge any additional fee required.

Magdalena M. Fincham Attorney for Applicants PTO Registration No. 46,085 (203) 461-7041/phone (203) 461-7300/fax

CUSTOMER NO. 22927 Mfincham@walkerdigital.com Dated: July 19, 2004

I certify that this document and fee is being deposited on 19, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313

Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Small Entity)  Docket No. 04-014						
In Re Application Of: WALKER et al.						
Serial No. Filing Date 10/821,255 April 8, 2004			Examiner TRADE	Group Art Unit		
Invention: PURCHASING, REDEMPTION, AND SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A RETAILER OF A PRODUCT PURCHASED USING A COMMUNICATION NETWORK						
Mail Stop Missing Parts  TO THE COMMISSIONER FOR RATENTS:						
TO THE COMMISSIONER FOR PATENTS:  This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  June 22, 2004  Date  Enclosed herewith for filing are the following:						
☑ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)						
⊠						
	A properly signed oath or declaration in compliance with 37 CFR 1.63.					
	An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.					
	A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.					
	verified small entity declaration(s)					
	☐ is/are attache	ed.				
	☐ was/were filed	d on	_			
	A separate request for refund.					
	Other (list):					

Response To N Filing Da		Docket No. 04-014					
In Re Application Of: WALKER et al.							
Serial No. 10/821,255	Filing Date Examiner  April 8, 2004 Not Yet Assigned		ed	Group Art Unit 3625			
Invention: PURCHASING, REDEMPTION, AND SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A RETAILER OF A PRODUCT PURCHASED USING A COMMUNICATION NETWORK							
		NONER FOR PATENTS  Missing Parts	<u>:</u>				
☑ Completion of approximation approximation in the properties of approximation and approximation approximatio	□ Completion of application fees as calculated below:     □						
Utility application	tion filing fee						
☐ Design appli	☐ Design application filing fee						
☐ Total numbe	r of independent claims =						
☐ Total numbe	Total number of claims =						
☐ Multiple depe	endent claims						
Surcharge for     Sur	Surcharge for late payment of filing fee and/or late filing of original declaration or oath     \$65.00						
☐ Petition and	Petition and fee for filing by other than all the inventors or a person not the inventor						
☐ Fee for proce	essing an application filed with a	non-English language s	pecificatior				
☐ Fee for proce	essing and retention of application	n					
		Total completion	n of applica	ation fees \$65.00			
above-identified Notice	er the provisions of 37 CFR to File Missing Parts of Applicati	on. The requested ext	ension is a	s follows (check time period			
☐ One month	☐ Two months ☐ T		our months	☐ Five months			
from:	Date	until:	Date				
		Tota	Total time extension fees				
			Tota	l fees due \$65.00			

## Response To Notice To File Missing Parts Of Application Docket No. Filing Date Granted (PTO-1533) (Small Entity) 04-014 In Re Application Of: WALKER et al. Group Art Unit Serial No. Filing Date Examiner 3625 10/821,255 **April 8, 2004** Not Yet Assigned Invention: PURCHASING, REDEMPTION, AND SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A RETAILER OF A PRODUCT PURCHASED USING A COMMUNICATION **NETWORK** TO THE COMMISSIONER FOR PATENTS: Mail Stop Missing Parts

	•				
The f	ee of	\$65.00	is to be paid as follows:		
	A check in the amount of the fee is enclosed.				
$\boxtimes$		ctor is hereby Account No.	authorized to charge any fees which may be required, or credit any overpayment, to 50-0271		
$\boxtimes$	If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0271				

Signature Signature

Dated: July 19, 2004

Magdalena M. Fincham Attorney for Applicants PTO Registration No. 46,085 (203) 461-7041/phone (203) 461-7300/fax

CUSTOMER NO. 22927 Mfincham@walkerdigital.com I certify that this document and fee is being deposited onJuly 19, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA

Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence